Nippon India Mutual Fund
Wealth sets you free

SYSTEMATIC TRANSFER PLAN (STP) ENROLMENT FORM

TO BE FILLED IN CAPITAL LETTERS. PLEASE (✓) WHEREVER APPLICABLE

APP No.:

1. MFD /RIA INFORMATION (Refer Instruction No. 25)

Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank Branch Code/ Internal Code	*Employee Unique Identification Number	RIA Code**
ARN- (ARN stamp here)	ARN-			

*Please sign alongside in case the EUIN is left blank/not provided. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE			
	First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

2. EXISTING UNIT HOLDER INFORMATION

FOLIO NO.

3. APPLICANT DETAILS

Name of Sole/1st holder Mr./Ms./M/s	PAN No / PEKRN.	MANDATORY	☐ KYC
Name of 2nd holder Mr./Ms.	PAN No / PEKRN.	MANDATORY	☐ KYC
Name of 3rd holder Mr./Ms.	PAN No / PEKRN.	MANDATORY	☐ KYC

4. SYSTEMATIC TRANSFER PLAN (STP) SCHEME DETAILS (Refer Instruction No.1, 5 & 26)

(If the investor wishes to invest in Direct Plan please mention Direct Plan against the scheme Name)

Name of 'Transferor' Scheme/Plan/Option (From which you wish to transfer amount):	
Name of 'Transferee' Scheme/Plan/Option (To which you wish to transfer amount):	

5. STP DETAILS (Refer Instruction No.6)

☐ **Fixed Transfer STP (Refer Instruction No. 7, 8 & 10)**

STP Frequency (Please✓any one)

☐ Daily (Minimum One Month)	☐ Weekly** (select any one)	☐ Fortnightly	☐ Monthly* (Default)	☐ Quarterly*
First execution date will be OR on or after 3 calendar days from the date of submission of the form (excluding date of submission) subject to availability of funds in the transferor scheme.	☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday	1st & 15th of every month	* of every month	* of the starting month of every Quarter

*Incase the Investor has not specified any date then the default date would be 10th

OR

☐ **Capital Appreciation STP (Refer Inst No. 7 & 9)**

STP Frequency (Please✓any one)

☐ Monthly (Default)	☐ Quarterly
1st of every Month	1st of the starting month of every Quarter

Amount of Transfer per Instalment ₹ _____

Enrolment Period (Please✓any one)

☐ **REGULAR** From : MMYY To : MMYY ☐ **PERPETUAL** (Default) From : MMYY

Only for Daily STP Enrolment Period From : DDMMYY To : DDMMYY

** If any day is a non-business day, then next immediate business day will be the STP day and units will be allotted with NAV of next immediate business day.

6. DECLARATION & SIGNATURE/S

I/We would like to opt for Systematic Transfer Plan subject to terms of the Scheme Information Document and subsequent amendments thereto. I/We have read the instructions of the Enrolment Form, Scheme Information Document of the Transferor and Transferee Scheme and Statement of Additional Information before filling up the Enrolment Form. I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. ☐ I confirm that I am resident of India. ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

++ I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC , as the case may be.

Place _____ Date: DDMMYYYY

SIGNATURE

First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

STP Enrolment Form / 07th October 2026 / Ver 4.5

Nippon India Mutual Fund
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Acknowledgment Receipt of STP Application Form

(To be filled in by the Unit holder)

FOLIO NO. _____ **STP Application** ☐ Fixed Transfer STP ☐ Capital Appreciation STP

Received from _____

Amount of Transfer per Instalment ₹ _____

From Scheme / Plan / Option _____

to Scheme / Plan / Option _____

Mode & Frequency of STP _____

Stamp of receiving branch & Signature

INSTRUCTIONS

(1) Systematic Transfer Plan (STP) is a special facility available in selected Schemes of NIMF. It is a facility wherein unit holders of designated open ended schemes of Nippon India Mutual Fund (NIMF) can opt to transfer a fixed amount (capital) or variable amount (capital appreciation) at regular intervals to another designated open ended scheme of NIMF.

(2) Under Multiple STP the unit holders of one designated open ended scheme of NIMF can opt to transfer a Fixed amount (capital) at regular intervals to another one or more designated open ended schemes of NIMF. This facility is available under Fixed STP only.

(3) Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the respective Transferor & Transferee Scheme(s) available at all the Designated Investor Service Centers (DISC), brokers / distributors and on our website https://mf.nipponindiaim.com carefully before investing.

(4) New Unit holders are required to fill up Common Application Form for investing in Transferor Scheme along with STP Enrolment Form. Existing Unit holders in Transferor Scheme are required to provide their Folio No. and submit only STP Enrolment Form.

(5) Please submit separate enrolment form for more than one Transferor and / or Transferee schemes.

(6) An investor whether existing or new is required to select any one of the Transferor Scheme and any one or more than one of the Transferee Scheme. Maximum number of transferee scheme that can be selected are 5. Unit holders should clearly mention from and to which scheme /option he/she wish to transfer their investment. Please note that if no Transferor Scheme or Transferee Scheme is mentioned or in case of an ambiguity the application is liable to be rejected.

(7) An investor has to opt between the Fixed Systematic Transfer Plan & Capital Appreciation Systematic Transfer Plan. Incase none of the plans or options are mentioned in the application form or incase of an ambiguity the application for enrolment will be rejected. Further incase plans are not mentioned but options are mentioned, the plan will be selected on the basis of sub - option selected.

(8) Unit holders are required to select any one of the frequency option under Fixed Systematic Transfer Plan. The frequency options available are Daily Option - where STP will be executed on Daily basis, Weekly Option - Investor has to select any one of the Monday, Tuesday, Wednesday, Thursday, Friday. If any day is a non-business day, then next immediate business day will be the STP day and units will be allotted with NAV of next immediate business day, Fortnightly Option - where STP will be executed on 1st and 15th of every month, Monthly Option - where STP will be executed on any pre-specified date of every month to be chosen by the unit holders, Quarterly Option - where STP will be executed on any pre-specified date of the first month of the quarter to be chosen by the unitholder. If an investor does not mention any frequency or ticks on multiple frequencies or the frequency is unclear on the STP application form, the default frequency shall be considered as monthly.

(9) Unit holders are required to select any one of the option under Capital Appreciation Systematic Transfer Plan. The options available are Monthly Option - where STP will be executed on 1st of every month, Quarterly Option - where STP will be executed 1st of the starting month of every quarter. If an investor does not mention any frequency or ticks on multiple frequencies or th frequency is unclear on the STP application form, the default frequency shall be considered as monthly.

(10) Under Fixed Systematic Transfer Plan, minimum of ₹ 100 and in multiples of ₹ 1 thereof each will be transferred on execution date subject to applicable exit load of the transferor Scheme incase of Daily Option. Minimum of ₹ 1000 and in multiples of ₹ 1 thereof in case of for Weekly / Fortnight / Monthly option and ₹ 3000 and in multiples of ₹ 1 thereof in case of Quarterly option can be transferred on STP execution date subject to applicable exit load. However under Capital Appreciation STP, minimum of ₹ 500 and above thereof in case of Monthly option or Quarterly option can be transferred on STP execution date subject to applicable exit load. In case the capital appreciation amount is less then ₹ 500 on any STP due date, the systematic transfer will not be processed for that due date. For Tax saver and Retirement schemes (NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME/NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME) min amount required is Rs 500 for daily frequency and multiples of 500. Tax saver and Retirement schemes (NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME/NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME) min amount required is Rs 1000 and multiples of 500 for Weekly/ fortnightly/Monthly frequency and for Quarterly frequency min amount 3000 and multiples of 500.

(11) Under multiple STP the investor has to ensure that he maintains sufficient balance in the transferor scheme else none of the transfers in to the transferee scheme will be processed.

(12) Under multiple STP the amount of transferee scheme should match with the total sum of amount of all the transferee schemes.

(13) Unit holders are required to mention date of execution in case of Monthly and Quarterly Option under both the plans. If an investor opts for Monthly or Quarterly frequency but does not mention the STP Date or mentions multiple STP dates or the STP date is unclear on the STP application form, the default STP date shall be treated as 10th of every month/quarter as per the frequency defined by the investor.

(14) Incase execution date mentioned is a non - transaction or non - working day, the STP will be executed on next business / transaction / working day.

(15) Unitholder has to ensure to maintain minimum balance in accordance with Plans selected in the Transferor Scheme on the transfer date / execution date under Fixed Systematic Transfer Plan. In case of insufficient balance / unclear units on the date of transfer in the folio, STP for that particular due date will be processed based on the clear balance available in the scheme. However, future STPs will continue to be active. This will help the investor to continue his STP facility seamlessly. Also if the investor continues to have insufficient balance / unclear units for three consecutive months, NAM India will have the right to discontinue the future STPs at its own discretion.

(16) Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the STP under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous STP date (where transfer has been processed and paid) and the next STP date.

(17) Minimum number of transfers required for a STP shall be two. Incase of Daily STP, minimum number of transfer is one month.

(18) Incase the investor opts for Daily STP and provides the enrolment period in MM/YYYY format, then the first execution date will be OR on or after 3 calendar days from the date of submission of the form (excluding date of submission) subject to availability of funds in the transferor scheme.

(19) An investor who opts for perpetual option, his STP will continue forever with no end date unless a written request for cancellation is given by the investor in this regard.

(20) If an investor does not mention STP start date, or the STP start date is unclear/not expressly mentioned on the STP Application form, then by default STP would start from the next subsequent cycle after meeting the minimum registration requirement of 3 calendar days as per the defined frequency by the investor. STP start date should be within 6 months from the date of submission of STP application for any frequency. In case the STP start date is more than 6 months from the date of submission, such STP application shall be liable for rejection. If an investor does not mention STP end date or the STP end date is unclear, it will be considered as perpetual STP.

(21) The Enrolment form completed in all respects can be submitted at any of the Designated Investor Service Center (DISC) of NAM India at least three calendar days before the commencement of first execution date of STP. In case the required time of three calendar days are not met then the STP will be processed from the next STP cycle.

(22) In accordance with the requirements specified by the Clause 11.7 of SEBI Master Circular dated March 20, 2026 no entry load will be charged. Exit Load as applicable in the respective Transferor and Transferee Scheme at the time of enrolment / registration will be applicable.

(23) STP facility is available for all sub options of the mentioned Transferor Scheme

(24) Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number.

(25) For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".

(26) Investors are required to clearly indicate the plans/options in the application form of the scheme. Investor may note that following shall be applicable for default plan

Sr	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan/Other than Direct Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan/Other than Direct Plan	Direct Plan
7	Mentioned	Regular Plan/Other than Direct Plan	Regular Plan/Other than Direct Plan
8	Mentioned	Not mentioned	Regular Plan/Other than Direct Plan

In cases of wrong/ invalid/ incomplete ARN/ Unempanelled ARN codes mentioned on the application form, the application shall be processed under Direct Plan. Similarly, in the absence of clear indication as to the choice of option (Growth or Payout of Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

(27) **Communication for the investors. :**

If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), NIMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling NIMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), NIMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

In accordance with Clause 15.7 of SEBI Master Circular dated March 20, 2026, the investor whos transaction has been accepted by the NAM India/NIMF shall receive the following:

(I) On acceptance of the application, a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request will be sent to the Unit holders registered email address and/or mobile number.

(ii) Thereafter, a Consolidated Account Statement (CAS) shall be issued for each calendar month on or before 10th of the immediately succeeding month to the Unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month by physical/e-mail mode.

The word 'transaction' shall include purchase, redemption, switch, Payout of Income Distribution cum capital withdrawal option, Reinvestment of Income Distribution cum capital withdrawal option, systematic investment plan systematic withdrawal plan, systematic transfer plan transactions.

(iii) For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). In case of a specific request received from the Unit NAM India / NIMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. In the event the account has more than one registered holder, the first Named Unit holder shall receive the CAS/account statement. CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by NAM India/NIMF for each calendar month on or before 10th of the immediately succeeding month.

(iV) In accordance with Clause 15.7.4 of SEBI Master Circular dated March 20, 2026, has specified that the CAS shall be despatched by email to all the investors whose email addresses are registered with the Depositories and AMCs/MF-RTAs. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/MF-RTAs. The depositories shall also intimate the investor on quarterly basis through the SMS mode specifying the email id on which the CAS is being sent.

(28) All valid transfer requisitions would be treated as switch-out / redemption for the Transferor Scheme and switch-in/ subscription transactions for the Transferee scheme and would be processed at the applicable NAV (subject to applicable exit load) of the respective schemes. The difference between the NAVs of the two Schemes/ Plans will be reflected in the number of units allotted. All valid transactions would be processed as per the applicable cut off timing on the business days as mentioned in the respective SID.

(29) The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document of the opted Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document of the opted Transferee Schemes will not be applicable.

(30) This facility will not be available for units which are under any Lien/Pledged or any lock-in period.

(31) The Unit holders may approach/ consult their tax consultants in regard to the treatment of the transfer of units from the tax point of view.

(32) Investors can discontinue STP by providing a written notice to DISC at least 3 calendar days (excluding submission date) prior to the due date of the next transfer date. The following information need to be mentioned while submitting a cancellation request (a) Folio holder Name (b) Folio Number (c) Transferor Scheme (d) Transferee Scheme (e) STP Amount (f) Frequency (g) Cancellation effective date.

(33) STP will be automatically terminated if all the units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.

(34) The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.

(35) NAM India in consultation with Trustees reserves the right to withdraw this offering, modify the procedure, frequency, dates, load structure with prior notice in accordance with the SEBI (Mutual Funds) Regulations 1996 read with various amendments and circulars issued thereto and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.

(36) Employee Unique Identification Number (EUIN) would assist in tackling the problem of misselling even if the employee/relationship manager/sales person leave the employment of the distributor.

FORMS REQUIRED TO BE FILLED UP : • For Existing Investor : (a) Only STP Enrolment Form • For New Investors : (a)Common Application Form of Transferor Scheme (b) STP Enrolment Form.

Nippon India Mutual Fund
Wealth sets you free

Registered Office Address: 30th Floor, One Lodha Place, Senapati Bapat Marg, Lower Parel, Mumbai - 400013.
Customer Care: 1860 266 0111 (Call charges apply) | https://mf.nipponindiaim.com

Please note your Statement of Account is sent once a month through CAS or ECAS if email id is updated in the folio. To have an access to the folio statement visit our website to generate an instant account statement.