Nippon India Mutual Fund
Wealth sets you free

Form for ☐ **Fresh Nomination /**
☐ **Change of Existing Nomination/** ☐ **Opting-Out of Nomination**
(Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders)

Please read the instructions carefully before filling up this form

Folio Number(s)

1. | | | | | | | | | | | | | | | 3. | | | | | | | | | | | | | | |
2. | | | | | | | | | | | | | | | 4. | | | | | | | | | | | | | | |

1st Holder Name

2nd Holder Name

3rd Holder Name

I/We, the above named Unitholders of **Nippon India Mutual Fund**, do hereby

☐ Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my/our death.

I/We wish to make a nomination. [As per details given below]

Nomination Details

	Nomination can be made upto three nominees in the account.	**Details of 1st Nominee**	**Details of 2nd Nominee**	**Details of 3rd Nominee**
	Mandatory Details			
1	**Name of the nominee(s)**	(Mr./Ms.)	(Mr./Ms.)	(Mr./Ms.)
2	**Relationship With the Applicant**			
3	**Date of Birth** (in case Nominee is Minor)	D D / M M / Y Y Y Y	D D / M M / Y Y Y Y	D D / M M / Y Y Y Y
	Other Additional Details			
3	**Share of each Nominee** — **Equally** (If not equally please specify percentage) *Ref. Ins No. 20	(%)	(%)	(%)
		Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
4	**Mobile Number & E-mail***** (Please note that the DP / MF RTA will be able to reach out to your nominee if you provide the contact details) (CAPITAL letters only)	Mobile Number e-mail	Mobile Number e-mail	Mobile Number e-mail
5	**Identity Type & Number *** **			
6	**Address of Nominee(s)/ Guardian in case of Minor** Other Address (Please mention complete address in the box)	☐ Same As First Applicant	☐ Same As First Applicant	☐ Same As First Applicant
7	**Guardian Name**			
8	**Guardian signature** where a guardian is signing on behalf of a minor			

***to be furnished only in following conditions / circumstances:
- For Identification Type: Aadhaar (last four digit), PAN, Driving Licence, Passport.
- Guardian Details: It is optional for you to provide, if the nominee is minor.

I / We want the following nomination details to be printed in the account / holding statements **(Mandatory to tick one of the boxes below):**
☐ **Name of the Nominee(s)** ☐ **Whether nomination given: Yes / No** (not name of the nominee)

Declaration for opting-out of nomination

☐ I/We DO NOT wish to make a nomination. *(Please tick ✓ if the unitholder does not wish to nominate anyone)*

I / we do not wish to appoint any nominee(s) to my/ our demat account/mutual fund folio at this point of time. **(i)**The nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. **(ii)**In the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my/our legal heir(s). **(iii)**If no claim is made on the account / folio for a prolonged period after my/our demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework. I / We confirm that I / We have understood the above implications and that my/our decision to opt out of nomination is voluntary.

Unit Holder	Name of the Holder	Signature of holder
Sole / First Holder		
Second Holder		
Third Holder		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.
*All applicants must sign the form, (quoting existing Folio no, if any). Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal.

Witness Details	Name	Signature
Witness 1		
Witness 2		

INSTRUCTIONS

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. All Holders signature is Mandatory, irrespective of mode of holding.
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation/claim settlement shall be made equally amongst all the nominees.
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio /account.
12. Nomination shall stand rescinded upon the transfer of units.
13. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/Mutual Fund / Trustees against the legal heir(s).
14. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer /transmit the units in favour of the Nominee.
15. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
16. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
17. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission/claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
18. Investor can authorize any one of the registered nominees (except a minor nominee), to act on behalf of him/her in case of incapacitation.
19. Transmission Aspects :
    - AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nomimee is not required to provide affidavits, indemnitites, undertakings, attestations or notarization.
    - In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), emai address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
    - Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
    - In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination			% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'		
Nominee	**% share**	**Nominee**	**% initial share**	**% of A's share to be apportioned**	**Total % share**
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	**100%**		**40%**	**60%**	**100%**

20. **Allocation Where Percentage Is Not Specified**

    If an investor does not specify the percentage allocation among nominees, the holdings will be distributed equally among all registered nominees. Any residual units resulting from the allocation process will be assigned to the first nominee listed in the nomination form.

    Illustrative Allocation:
    - One Nominee: 100%
    - Two Nominees: 50% each
    - Three Nominees: 34%, 33%, 33%

21. Investors can provide, changes or cancel nominations any number of times.
22. Investor have the right to receive acknowledgement of the nomination form for each instance of nomination / subsequent change.
23. Nomination will be optional for jointly held folios.
24. There are various requests from the investors to register POA Holder as nominee. Since investor itself nominating the POA Holder as nominee, the same shall be allowed. As per current restrictions, POA holder cannot make a nomination request as his own name or any other individuals.

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