Nippon India Mutual Fund
Wealth sets you free

NIPPON INDIA GOLDEN TRANSFER STEP ENROLMENT FORM

APP No.:

TO BE FILLED IN CAPITAL LETTERS. PLEASE (✓) WHEREVER APPLICABLE

1. MFD /RIA INFORMATION

Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank Branch Code/ Internal Code	*Employee Unique Identification Number	RIA Code**
ARN- (ARN stamp here)	ARN-			

*Please sign below in case the EUIN is left blank/not provided. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE			
	First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

2. EXISTING UNIT HOLDER INFORMATION

FOLIO NO. | | | | | | | | | | | | | | | | | |

3. APPLICANT DETAILS

Name of Sole/1st holder Mr./Ms./M/s	PAN No / PEKRN. MANDATORY	☐ KYC
Name of 2nd holder Mr./Ms.	PAN No / PEKRN. MANDATORY	☐ KYC
Name of 3rd holder Mr./Ms.	PAN No / PEKRN. MANDATORY	☐ KYC

4. NIPPON INDIA GOLDEN TRANSFER STEP SCHEME DETAILS

☐ Name of 'Transferor' Scheme/Plan/Option ☐ Name of 'Transferee' Scheme/Plan/Option

(Select any one of the schemes) ☐ Nippon India Gold Savings Fund ☐ Nippon India Gold Savings Fund - Direct Plan

Plan	☐ Growth Plan	☐ Income Distribution cum capital withdrawal Plan	Option	☐ Payout Option	☐ Re-investment Option

5. STP DETAILS (Refer Instruction No.6)

☐ **Fixed Transfer STP (Refer Instruction No.7 & 9)**

STP Frequency (Please ✓any one)

☐ Daily (Minimum One Month)	☐ Weekly	☐ Fortnightly	☐ Monthly (Default)	☐ Quarterly
First execution date will be on or after 7 calendar days from the date of submission of the form (excluding date of submission)	1st, 8th, 15th & 22nd of every month	1st & 15th of every month	* _____ of every month	* _____ of the starting month of every Quarter
			*Incase the Investor has not specified any date then the default date would be 10th	

Amount of Transfer per Instalment ₹ _____

OR

☐ **Capital Appreciation STP (Refer Inst No.8 & 9)**

STP Frequency (Please ✓any one)

☐ Monthly (Default)	☐ Quarterly
1st of every Month	1st of the starting month of every Quarter

Enrolment Period (Please✓any one)

☐ **REGULAR** From : M M Y Y Y Y To : M M Y Y Y Y ☐ **PERPETUAL** (Default) From : M M Y Y Y Y To : M M Y Y Y Y

Only for Daily STP Enrolment Period

From : D D M M Y Y Y Y To : D D M M Y Y Y Y

6. DECLARATION & SIGNATURE/S

I/We would like to opt for Nippon India Golden Transfer Step subject to terms of the Scheme Information Document and subsequent amendments thereto. I/We have read the instructions of the Enrolment Form, Scheme Information Document of the Transferor and Transferee Scheme and Statement of Additional Information before filling up the Enrolment Form. I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete.

☐ I confirm that I am resident of India.

☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

++I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

Place : _____ Date: D D M M Y Y Y Y

SIGN HERE			
	First / Sole Applicant /Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

Nippon India Golden Transfer Step Enrolment Form / 23rd April 2026 / Ver 3.6

Nippon India Mutual Fund
Wealth sets you free

Acknowledgment Receipt

(To be filled by the Unit holder)

APP No.:

FOLIO NO. | | | | | | | | | | | | | | | | | |

Received from _____ Nippon India Golden Transfer Step Application Form

Amount of Transfer per Instalment ₹ _____

From Scheme / Plan / Option _____

to Scheme / Plan / Option

Stamp of receiving branch & Signature

(Select any one of the schemes) ☐ Nippon India Gold Savings Fund ☐ Nippon India Gold Savings Fund - Direct Plan

Plan	☐ Growth Plan	☐ Income Distribution cum capital withdrawal Plan	Option	☐ Payout Option	☐ Re-investment Option

Mode & Frequency _____

INSTRUCTIONS

(1) Nippon India Golden Transfer Step is a facility wherein unit holders of designated open ended schemes of Nippon India Mutual Fund (NIMF) can opt to transfer a fixed amount (capital) or variable amount (capital appreciation) at regular intervals to Nippon India Gold Savings Fund an open ended scheme of NIMF.

(2) Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the respective Transferor & Transferee Scheme available at all the Designated Investor Service Centers (DISC), brokers / distributors and on our website https://mf.nipponindiaim.com carefully before investing.

(3) New Unit holders are required to fill up Common Application Form for investing in Transferor Scheme along with Nippon India Golden Transfer Step Enrolment Form. Existing Unit holders in Transferor Scheme are required to provide their Folio No. and submit only Nippon India Golden Transfer Step Enrolment Form.

(4) Please submit separate enrolment form for more than one Transferor schemes.

(5) An investor whether existing or new is required to select any one of the Transferor Scheme. Unit holders should clearly mention from scheme / option to transfer their investment. Please note that if no Transferor Scheme is mentioned or in case of an ambiguity the application is liable to be rejected.

(6) An investor has to opt between the Fixed Nippon India Golden Transfer Step & Capital Appreciation Nippon India Golden Transfer Step Plan. Incase none of the plans or options are mentioned in the application form or incase of an ambiguity the application for enrolment will be rejected. Further incase plans are not mentioned but options are mentioned, the plan will be selected on the basis of sub - option selected.

(7) Unit holders are required to select any one of the option under Fixed Nippon India Golden Transfer Step. Incase the Frequency is not mentioned or incase of an ambiguity the application for enrolment will be rejected. The options available are Daily Option - where transfer will be executed on Daily basis, Weekly Option - where transfer will be executed on 1st, 8th, 15th and 22nd of every month, Fortnightly Option - where transfer will be executed on 1st and 15th of every month, Monthly Option - where transfer will be executed on any pre-specified date of every month to be chosen by the unit holders, Quarterly Option - where transfer will be executed on any pre-specified date of the first month of the quarter to be chosen by the unitholder. If an investor does not mention any frequency or ticks on multiple frequencies or the frequency is unclear on the Nippon India Golden Transfer Step application form, the default frequency shall be considered as monthly.

(8) Unit holders are required to select any one of the option under Capital Appreciation Nippon India Golden Transfer Step. Incase the Frequency is not mentioned or incase of an ambiguity the application for enrolment will be rejected. The options available are Monthly Option - where transfer will be executed on 1st of every month, Quarterly Option - where transfer will be executed 1st of the starting month of every quarter. If an investor does not mention any frequency or ticks on multiple frequencies or the frequency is unclear on the Nippon India Golden Transfer Step application form, the default frequency shall be considered as monthly.

(9) Under Fixed Nippon India Golden Transfer Step minimum of ₹100 and in multiples of ₹ 100 each will be transferred on execution date subject to applicable exit load of the transferor Scheme incase of Daily Option. Minimum of ₹ 1000 and in multiples of ₹ 100 thereof in case of for Weekly / Fortnight / Monthly option and ₹ 3000 and in multiples of ₹ 100 thereof in case of Quarterly option can be transferred on Nippon India Golden Transfer Step execution date subject to applicable exit load. However applications not in multiple of ₹ 100 will be processed for the nearest lower multiple of ₹ 100, subject to minimum amount specified. However under Capital Appreciation Nippon India Golden Transfer Step, minimum of ₹ 500 and above thereof in case of Monthly option or Quarterly option can be transferred on Nippon India Golden Transfer Step execution date subject to applicable exit load. In case the capital appreciation amount is less then ₹ 500 on any Nippon India Golden Transfer Step due date, the transfer will not be processed for that due date.

(10) Unit holders are required to mention date of execution in case of Monthly and Quarterly Option under both the plans. If an investor opts for Monthly or Quarterly frequency but does not mention the Nippon India Golden Transfer Step Date or mentions multiple dates or the date is unclear on the Nippon India Golden Transfer Step application form, the default date shall be treated as 10th of every month/quarter as per the frequency defined by the investor.

(11) Incase execution date mentioned is a non - transaction or non - working day, the Nippon India Golden Transfer Step will be executed on next business / transaction / working day.

(12) The minimum balance amount that an unitholder (new or existing) has to maintain in his folio to opt for Nippon India Golden Transfer Step facility for all the eligible schemes is ₹ 5,000 or the minimum application amount as stated in the SID of the respective Transferor scheme, whichever is higher. In case of insufficient balance in the account / folio, the application for enrolment of Nippon India Golden Transfer Step will be rejected.

(13) Unitholder has to ensure to maintain minimum balance in accordance with Plans selected in the Transferor Scheme on the transfer date / execution date under Fixed Nippon India Golden Transfer Step. In case of insufficient balance / unclear units on the date of transfer in the folio, Nippon India Golden Transfer Step for that particular due date will be rejected. However, future Nippon India Golden Transfer Steps will continue to be active. Also if the investor continues to have insufficient balance /unclear units for three consecutive months, NAM India will have the right to discontinue the future Nippon India Golden Transfer Steps at its own discretion.

(14) Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the Nippon India Golden Transfer Step under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous Nippon India Golden Transfer Step date (where transfer has been processed and paid) and the next Nippon India Golden Transfer Step date.

(15) Minimum number of transfers required for a Nippon India Golden Transfer Step shall be two. Incase of Daily Nippon India Golden Transfer Step, minimum number of transfer is one month.

(16) Incase the investor opts for Daily Nippon India Golden Transfer Step and provides the enrolment period in MM/YYYY format, then the first execution date will be the 7th calendar day from the date of submission of the form (excluding date of submission).

(17) The Enrolment form completed in all respects needs to be submitted at any of the DISC at least seven calendar days before the commencement of first execution date of Nippon India Golden Transfer Step. If the Nippon India Golden Transfer Step start date is less than seven calendar days, then Nippon India Golden Transfer Step will be registered from next eligible cycle date falling after 7th calendar day.

(18) An investor who opts for perpetual option, his Nippon India Golden Transfer Step will continue forever with no end date unless a written request for cancellation is given by the investor in this regard.

(19) If an investor does not mention Nippon India Golden Transfer Step start date, or the date is unclear/not expressly mentioned on the Nippon India Golden Transfer Step Application form, then by default Nippon India Golden Transfer Step would start from the next subsequent cycle after meeting the minimum registration requirement of 7 working days as per the defined frequency by the investor. If an investor does not mention Nippon India Golden Transfer Step end date or the Nippon India Golden Transfer Step end date is unclear, it will be considered as perpetual Nippon India Golden Transfer Step.

(20) In accordance with the requirements specified by the Clause 10.4 of SEBI Master Circular dated June 27, 2024 no entry load will be charged. Exit Load as applicable in the respective Transferor Scheme at the time of enrolment / registration will be applicable.

(21) Nippon India Golden Transfer Step facility is available for all sub options of the mentioned Transferor Scheme.

(22) Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number.

(23) For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".

(24) Investors are required to clearly indicate the plans/options in the application form of the scheme.

Investor may note that following shall be applicable for default plan

Sr	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan/Other than Direct Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan/Other than Direct Plan	Direct Plan
7	Mentioned	Regular Plan/Other than Direct Plan	Regular Plan/Other than Direct Plan
8	Mentioned	Not mentioned	Regular Plan/Other than Direct Plan

In cases of wrong/ invalid/ incomplete ARN/ Unempanelled ARN codes mentioned on the application form, the application shall be processed under Direct Plan. Similarly, in the absence of clear indication as to the choice of option (Growth or Payout of Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

(25) **Communication for the Investors. :**

If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), NIMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling NIMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), NIMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

In accordance with Clause 15.7 of SEBI Master Circular dated March 20, 2026, the investor whose transaction has been accepted by the NAM India/NIMF shall receive the following:

(i) On acceptance of the application, a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request will be sent to the Unit holders registered email address and/or mobile number.

(ii) Thereafter, a Consolidated Account Statement (CAS) shall be issued for each calendar month on or before 10th of the immediately succeeding month to the Unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month by physical/e-mail mode.

The word 'transaction' shall include purchase, redemption, switch, Payout of Income Distribution cum capital withdrawal option, Reinvestment of Income Distribution cum capital withdrawal option, systematic investment plan systematic withdrawal plan, systematic transfer plan transactions.

(iii) For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). In case of a specific request received from the Unit NAM India / NIMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. In the event the account has more than one registered holder, the first Named Unit holder shall receive the CAS/account statement. CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by NAM India/NIMF for each calendar month on or before 10th of the immediately succeeding month.

(iv) In accordance with Clause 15.7.4 of SEBI Master Circular dated March 20, 2026, has specified that the CAS shall be despatched by email to all the investors whose email addresses are registered with the Depositories and AMCs/MF-RTAs. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/MF-RTAs. The depositories shall also intimate the investor on quarterly basis through the SMS mode specifying the email id on which the CAS is being sent.

(26) All valid transfer requisitions would be treated as switch-out / redemption for the Transferor Scheme and switch-in/ subscription transactions for the Transferee scheme and would be processed at the applicable NAV (subject to applicable exit load) of the respective schemes. The difference between the NAVs of the two Schemes/ Plans will be reflected in the number of units allotted. All valid transactions would be processed as per the applicable cut off timing on the business days as mentioned in the respective SID.

(27) The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document of the opted Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document of Nippon India Gold Savings Fund will not be applicable.

(28) This facility will not be available for units which are under any Lien/Pledged or any lock-in period.

(29) The Unit holders may approach/ consult their tax consultants in regard to the treatment of the transfer of units from the tax point of view.

(30) Unit holders can discontinue Nippon India Golden Transfer Step facility by providing a written notice to the DISC atleast 7 calendar days (excluding day of submission) prior to the due date of the next transfer date. The following information need to be mentioned while submitting a cancellation request (a) Folio holder Name (b) Folio Number (c) Transferor Scheme (d) Transfer Amount (e) Frequency (f) Cancellation effective date.

(31) Nippon India Golden Transfer Step will be automatically terminated if all the units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.

(32) The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.

(33) NAM India in consultation with Trustees reserves the right to withdraw this offering, modify the procedure, frequency, dates, load structure with prior notice in accordance with the SEBI (Mutual Funds) Regulations 1996 read with various amendments and circulars issued thereto and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.

(34) If the investor does not specify the Plan/Option of the transferee scheme then Growth Option of the Growth Plan and Reinvestment of Income Distribution cum capital withdrawal option of the Income Distribution cum capital withdrawal Plan shall be the default sub-options.

(35) Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor.

(36) In line with SEBI letter no. OW/16541/2012 dated July 24, 2012 addressed to AMFI, Investments in the mutual fund schemes {including investments through Systematic Investment Plans (SIPs)} up to ₹ 50,000/- per investor per year shall be exempted from the requirement of PAN. Investor seeking exemption of PAN will need to submit the PAN Exempt KYC Reference No (PEKRN) acknowledgement issued by KRA along with the application Form. This exemption is applicable only for individuals including NRIs, minors acting through guardian, Sole proprietorship firms and joint holders. In case of joint holders, first holder must not possess a PAN.Other categories of investors e.g. PIOs, HUFs, QFIs, non - individuals, etc. are not eligible for such exemption.

FORMS REQUIRED TO BE FILLED UP : • For Existing Investors : (a) Only Nippon India Golden Transfer Step Enrolment Form • For New Investors : (a)Common Application Form of Transferor Scheme (b) Nippon India Golden Transfer Step Enrolment Form.

Nippon India Mutual Fund
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Registered Office Address: 30th Floor, One Lodha Place, Senapati Bapat Marg, Lower Parel, Mumbai - 400013.
Customer Care: 1860 266 0111 (Call charges apply) | https://mf.nipponindiaim.comm

Please note your Statement of Account is sent once a month through CAS or ECAS if email id is updated in the folio. To have an access to the folio statement visit our website to generate an instant account statement.