Nippon India Mutual Fund
Wealth sets you free

FLEX SYSTEMATIC TRANSFER PLAN (FLEX STP) ENROLMENT FORM

TO BE FILLED IN CAPITAL LETTERS. PLEASE (✓) WHEREVER APPLICABLE

APP No.:

1. MFD /RIA INFORMATION (Refer Instruction No. 22)

Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank Branch Code/ Internal Code	*Employee Unique Identification Number	RIA Code**
ARN- (ARN stamp here)	ARN-			

*Please sign alongside in case the EUIN is left blank/not provided. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN HERE			
	First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

2. EXISTING UNIT HOLDER INFORMATION

FOLIO NO.

3. APPLICANT DETAILS

Name of Sole/1st holder Mr./Ms./M/s	PAN No / PEKRN.	☐ KYC
Name of 2nd holder Mr./Ms.	PAN No / PEKRN.	☐ KYC
Name of 3rd holder Mr./Ms.	PAN No / PEKRN.	☐ KYC

MANDATORY

4. FLEX SYSTEMATIC TRANSFER PLAN (FLEX STP) SCHEME DETAILS (Refer Instruction No.1, 4 & 23)

(If the investor wishes to invest in Direct Plan please mention Direct Plan against the scheme name)

Name of 'Transferor' Scheme		**Plan**		**Option**	
Name of 'Transferee' Scheme		**Plan**		**Option**	**Growth**

5. FLEX STP DETAILS

Transfer Frequency (Please ✓any one of the below frequencies)

☐ **Monthly** (Default)* _____ of every month

☐ **Quarterly*** _____ of the starting month of every Quarter

*In case the Investor has not specified any date then the default date would be 10th

Amount of Transfer per Instalment ₹ _____

Amount of Transfer is subject to Flex STP calculation
Please Refer Instruction No. 6,7 & 8.

Flex STP Period From : M M Y Y To : M M Y Y

6. DECLARATION & SIGNATURE/S

I/We would like to opt for Flex Systematic Transfer Plan subject to terms of the Scheme Information Document and subsequent amendments thereto. I/We have read the instructions of the Enrolment Form, Scheme Information Document of the Transferor and Transferee Scheme and Statement of Additional Information before filling up the Enrolment Form. I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete.

☐ I confirm that I am resident of India.

☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

++ I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case maybe.

Place: _____ Date: D D M M Y Y Y Y

SIGNATURE

First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant / Authorised Signatory	Third Applicant / Authorised Signatory

Flex STP Enrolment Form / 23rd April 2026 / Ver 2.5

Nippon India Mutual Fund
Wealth sets you free

Acknowledgment Receipt of Flex STP Application Form

(To be filled in by the Unit holder)

APP No.:

FOLIO NO.

Received from _____

Amount of Transfer per Instalment ₹_____

From Scheme / Plan / Option_____

to Scheme / Plan / Option_____

Mode & Frequency of Flex STP_____

Stamp of receiving branch & Signature

INSTRUCTIONS

1. "Flex STP" is a facility wherein an investor under a designated open-ended scheme can opt to transfer variable amounts linked to the value of his investments on the date of transfer, at pre-determined intervals, transfer from designated open-ended (transferor scheme) to the growth option of another open-ended scheme(transferee scheme). This facility allows investors to take advantage of movements in the market by investing higher when the markets are low.
2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document(SID) and Key Information Memorandum (KIM) of the respective Transferor & Transferee Scheme(s) available at all the Designated Investor Service Centers (DISC), brokers / distributors and on our website https://mf.nipponindiaim.com carefully before investing.
3. New Unit holders are required to fill up Common Application Form for investing in Transferor Scheme along with Flex STP Enrolment Form. Existing Unit holders in Transferor Scheme are required to provide their Folio No. and submit only Flex STP Enrolment Form.
4. A single Flex STP Enrolment Form can be filled for transfer into one Scheme/Plan/Option only.
5. Flex STP is available only in "Growth" option of the target scheme. In case of valid enrolment forms received, indicating choice of option other than the growth option in the Transferee Scheme, it will be deemed as the growth option in the Transferee Scheme and processed accordingly.
6. Flex STP offer transfer facility at Monthly and Quarterly intervals. Unit holder is free to choose the frequency of such transactions.

   a) STP Minimum Amount:

   Monthly - ₹ 1000 per month & in multiples of ₹ 1 thereafter

   Quarterly - ₹ 3000 per quarter & in multiples of ₹ 1 thereafter

   b) STP Minimum installment:

   Monthly - 12 installments; Quarterly - 12 installments

   For Tax saver and Retirement schemes (NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME/NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME) min amount required is Rs 500 for daily frequency and multiples of 500. Tax saver and Retirement schemes (NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME/NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME) min amount required is Rs 1000 and multiples of 500 for Weekly/ fortnightly/Monthly frequency and for Quarterly freq min amount 3000 and multiples of 500.
7. The first Flex STP installment will be processed for the fixed installment amount specified by the unit holder at the time of enrolment. From the second Flex STP installment onwards, the transfer amount shall be computed as per formula.
8. Under the Flex STP - Monthly / Quarterly Interval, unit holders will be eligible to transfer the amount as determined by the following formula:
   - Fixed amount as per installment; or
   - The amount determined by the formula: {Fixed amount to be transferred per installment * Number of Installments (Installments already paid along with the current installment payable)} – market value of the investments through FLEX STP in the Transferee Scheme on the date of transfer.
   - Whichever is higher! However, STP amount cannot be more than two times of fixed installment amount
9. The installment value of FLEX STP is determined on the basis of NAV on the installment date (T day). If T day falls on a Non-Business day or falls during a book closure period, then valuation will be done based on last NAV. For Ex: investor opted Flex STP cycle as 15th. NAV as per process is considered as of 15th (T day). If 15th is falling on non business day, we shall consider NAV as per next business day NAV i.e., if 15th is a Sunday, we shall consider NAV of next business day i.e. Monday.

The minimum number of installments and amount under Flex STP –

Install-ment No.	NAV Applicable Date	STP Due Date	NAV per Unit	Market Value	Amount Invested (Debit amount)	Cumulative Amount Invested (Rs.)	Units Bought	Cumulative Units
1	NA	01/01/2019	10	0	3,000	3000	300	300
2	01/02/2019	01/02/2019	12	3,600.00	3,000	6000	250	550
3	01/03/2019	01/03/2019	11	6,050.00	3,000	9000	272.73	822.73
4	01/04/2019	01/04/2019	9	7,404.55	4,595	13595.45	510.61	1333.33
5	01/05/2019	01/05/2019	7	9,333.33	5,667	19262.12	809.52	2142.86
6	01/06/2019	01/06/2019	8	17,142.86	3,000	22262.12	375	2517.86
7	01/07/2019	01/07/2019	10	25,178.57	3,000	25262.12	300	2817.86
8	01/08/2019	01/08/2019	12	33,814.29	3,000	28262.12	250	3067.86
9	01/09/2019	01/09/2019	13	39,882.14	3,000	31262.12	230.77	3298.63
10	01/10/2019	01/10/2019	14	46,180.77	3,000	34262.12	214.29	3512.91
11	01/11/2019	01/11/2019	15	52,693.68	1,738	36000	115.86	3628.77
12	NA	01/12/2019	13	47,174.02	STP Cease as target amount achieved	0	0	0

10. Unitholder must ensure to maintain minimum balance in accordance with Plans selected in the Transferor Schemeon the transfer date / execution date under Flex Systematic Transfer Plan.
11. In case the amount (as specified by the formula) to be transferred under Flex STP is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and FlexSTP will be ceased.
12. Once the Flex STP have been stopped the unit holder needs to provide a new request to start Flex STP.
13. The total Flex STP installment amount invested in the Transferee Scheme shall not exceed the total enrollment amount specified by the unit holder at the time of enrollment i.e. amount per installment X number of installments.
14. If the NAV falls continuously throughout the Flex STP period, no. of installments would be less than those mentioned on application form.
15. The redemption/ switch-out of units allotted in the Transferee Scheme shall be processed on First in First out (FIFO) basis If there is are other financial transaction (purchase, redemption or switch) processed in the target/transferee scheme during the tenure of Flex STP, the Flex STP will be rejected and future will be suspended. Flex STP will be automatically terminated if all the units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
16. Flex STP option is not allowed for Investment into Nippon India ELSS Tax Saver Fund, Retirement fund – Wealth Creation/Income generation.
17. In case of applicability of transaction charges, Flex STP amount will be calculated against as on date allotted units.
18. In accordance with the requirements specified by the Clause 10.4 of SEBI Master Circular dated May 19, 2023 no entry load will be charged. Exit load shall be applicable in the transferor scheme as per Scheme Information Document (SID) of the respective schemes.
19. The Enrolment form completed in all respects can be submitted at any of the Designated Investor Service Centre (DISC) of NAM India at least 3 calendar days before the commencement of first execution date of Flex STP. In case the required time of 3 calendar days are not met then the Flex STP will be processed from the next Flex STP cycle.
20. If an investor does not mention Flex STP start date, or the Flex STP start date is unclear/not expressly mentioned on the Flex STP Application form, then by default Flex STP would start from the next subsequent cycle after meeting the minimum registration requirement of 3 calendar days as per the defined frequency by the investor. Flex STP start date should be within 6 months from the date of submission of Flex STP application for any frequency. In case the Flex STP start date is more than 6 months from the date of submission, such Flex STP application shall be liable for rejection. If an investor does not mention Flex STP end date or the Flex STP end date is unclear, it will be considered as perpetual STP.
21. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number.
22. For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
23. Investors are required to clearly indicate the plans/options in the application form of the scheme. Investor may note that following shall be applicable for default plan.

Sr	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan/Other than Direct Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan/Other than Direct Plan	Direct Plan
7	Mentioned	Regular Plan/Other than Direct Plan	Regular Plan/Other than Direct Plan
8	Mentioned	Not mentioned	Regular Plan/Other than Direct Plan

In cases of wrong/ invalid/ incomplete ARN/ Unempanelled ARN codes mentioned on the application form, the application shall be processed under Direct Plan. Similarly, in the absence of clear indication as to the choice of option (Growth or Payout of Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

24. Communication for the investors:

    If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), NIMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

    The investor(s) may request for a physical account statement by writing or calling NIMF's Investor Service Center/Registrar & Transfer Agent. In case of specific request received from the investor(s), NIMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request. In accordance with Clause 15.7.2 of SEBI Master Circular dated, March 20, 2026, the investor whose transaction has been accepted by the NAM India/NIMF shall receive the following:

    (i) On acceptance of the application, a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request will be sent to the Unit holders registered e-mail address and/ormobile number.

    (ii) Thereafter, a Consolidated Account Statement (CAS) shall be issued for each calendar month on or before10th of the immediately succeeding month to the Unit holder(s) in whose folio(s) transaction(s) has/havetaken place during the month by physical/e-mail mode.

    CAS shall contain details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor. The word 'transaction' shall include purchase, redemption, switch, Payout of Income Distribution cum capital withdrawal option, Reinvestment of Income Distribution cum capital withdrawal option, systematic investment plan systematic withdrawal plan, systematic transfer plan transactions.

    (iii) For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). In case of a specific request received from the Unit holders, NAM India /NIMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. In the event the account has more than one registered holder, the first named Unit holder shall receive the CAS/account statement.

    CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by NAM India/NIMF for each calendar month on or before 10th of the immediately succeeding month.

    Further, CAS detailing holding of investment across all schemes of all mutual funds at the end of every six months(i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unitholders in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical.
25. All valid transfer requisitions would be treated as switch-out / redemption for the Transferor Scheme and switch-in/subscription transactions for the Transferee scheme and would be processed at the applicable NAV (subject to applicable exit load) of the respective schemes. The difference between the NAVs of the two Schemes/ Plans will be reflected in the number of units allotted. All valid transactions would be processed as per the applicable cut off timing on the business days as mentioned in the respective SID.
26. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document of the opted Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document of the opted Transferee Schemes will not be applicable.
27. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
28. The Unit holders may approach/ consult their tax consultants in regard to the treatment of the transfer of units from the tax point of view.
29. Unit holders can discontinue Flex STP facility by providing a written notice to the DISC atleast 7 calendar days (excluding day of submission) prior to the due date of the next transfer date. The following information need to be mentioned while submitting a cancellation request (a) Folio holder Name (b) Folio Number (c) Transferor Scheme (d) Transferee Scheme (e) Flex STP Amount (f) Frequency (g) Cancellation effective date.
30. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the backoffice of the Registrar.
31. NAM India in consultation with Trustees reserves the right to withdraw this offering, modify the procedure, frequency, dates, load structure with prior notice in accordance with the SEBI (Mutual Funds) Regulations 1996 read with various amendments and circulars issued thereto and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
33. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor.

FORMS REQUIRED TO BE FILLED UP : • For Existing Investor : (a) Only Flex STP Enrolment Form • For New Investors : (a)Common Application Form of Transferor Scheme (b) Flex STP Enrolment Form.

Nippon India Mutual Fund
Wealth sets you free

Registered Office Address: 30th Floor, One Lodha Place, Senapati Bapat Marg, Lower Parel, Mumbai - 400013.

Customer Care: 1860 266 0111 (Call charges apply) | https://mf.nipponindiaim.com

Please note your Statement of Account is sent once a month through CAS or ECAS if email id is updated in the folio. To have an access to the folio statement visit our website to generate an instant account statement.